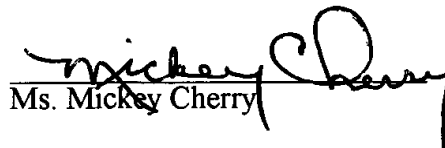




4220-116.1 US

The undersigned certifies that this communication is being deposited with the United States Postal Service as prepaid first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 20, 2002.


Ms. Mickey Cherry

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AUG 28 2002

Applicant: RHEE, S. et al.

Serial No.: 09/993,192

Group Art Unit: 1635

TECH CENTER 1600/2900

Filed: November 14, 2001

Examiner: TBD

Title: HANSENULA POLYMORPHA MUTANTS AND PROCESS FOR THE
PREPARATION OF RECOMBINANT PROTEINS USING THE SAME

Information Disclosure Statement

Commissioner for Patents
Washington, DC 20231

TECH CENTER 1600/2900

AUG 28 2002

Sir:

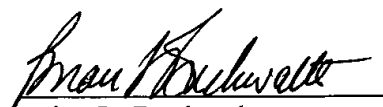
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Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office the following documents of which Applicant has been made aware. The documents are listed on the attached PTO Form 1449. Copies of the documents are enclosed.

Applicant submits that this Information Disclosure Statement is submitted prior to the first Office Action on the merits of the claims and no fee is due. In the event, a fee is required, authority is hereby given to charge any such deficiency to Deposit Account No. 13-2165 Mathews, Collins, Shepherd & McKay. The Examiner is invited to contact the undersigned if further information is required.

It is requested that the references be considered by the Examiner and be made of record as part of the available prior art under 37 C.F.R. § 1.104.

Respectfully submitted,


Brian L. Buckwalter
Reg. No. 46,585

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